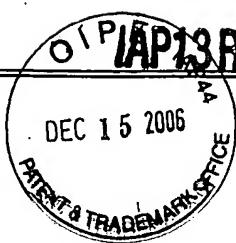


TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. §371



ATTORNEY DOCKET NUMBER  
2006\_1181A

U.S. APPLICATION NO.  
(if known, see 37 CFR 1.5)  
10/587,074

**International Application No.**  
PCT/EP2005/001385

**International Filing Date**  
February 11, 2005

**Priority Date Claimed**  
February 16, 2004

**Title of Invention**

TAMPER-PROOF, COLOR-SHIFT SECURITY FEATURE

**Applicant(s) For DO/EO/US**

Martin BERGSMANN et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1.  This is a **FIRST** submission of items concerning a filing under 35 U.S.C. §371.
2.  This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. §371.
3.  This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
4.  A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5.  A copy of the International Application as filed (35 U.S.C. §371(c)(2))
  - a.  is transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  has been transmitted by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US)
6.  A translation of the International Application into English (35 U.S.C. §371(c)(2)).
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)).
  - a.  are transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  have been transmitted by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made.
8.  A translation of the amendments to the claims under PCT Article 19.
9.  An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)).
10.  A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

**Items 11. to 14. below concern other document(s) or information included:**

11.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.  A **FIRST** preliminary amendment.
   
 A **SECOND** or **SUBSEQUENT** preliminary amendment.
14.  Other items or information:

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/587,074		INTERNATIONAL APPLICATION NO. PCT/EP2005/001385		ATTORNEY'S DOCKET NO. 2006_1181A																																																																			
15. <input type="checkbox"/> The following fees are submitted				CALCULATIONS	PTO USE ONLY																																																																		
Basic National Stage Fee ..... \$300.00 National Stage Search Fee (International Search Report provided - 37 CFR 1.492(b)(2)) ..... \$400.00 National Stage Examination Fee ..... \$200.00 Specification/drawings in excess of 100 pages ( units of 50 x \$250.00) = ..... \$  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				\$																																																																			
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).  <table border="1"> <thead> <tr> <th>Claims</th> <th>Number Filed</th> <th>Number Extra</th> <th>Rate</th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td>35 - 20 =</td> <td>15</td> <td>X \$50.00</td> </tr> <tr> <td>Independent Claims</td> <td>1 - 3 =</td> <td></td> <td>X \$200.00</td> </tr> <tr> <td colspan="3">Multiple dependent claim(s) (if applicable)</td> <td>+ \$360.00</td> </tr> <tr> <td colspan="4"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> </tr> <tr> <td colspan="4"><input type="checkbox"/> Small Entity Status is hereby asserted. Above fees are reduced by 1/2.</td> </tr> <tr> <td colspan="4"><b>SUBTOTAL =</b></td> <td>\$750.00</td> <td></td> </tr> <tr> <td colspan="4">Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</td> <td>+ \$130.00</td> <td></td> </tr> <tr> <td colspan="4"><b>TOTAL NATIONAL FEE =</b></td> <td>\$</td> <td></td> </tr> <tr> <td colspan="4">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +</td> <td>\$40.00</td> <td></td> </tr> <tr> <td colspan="4"><b>TOTAL FEES ENCLOSED =</b></td> <td>\$920.00</td> <td></td> </tr> <tr> <td colspan="4"></td> <td>Amount to be refunded</td> <td>\$</td> </tr> <tr> <td colspan="4"></td> <td>Amount to be charged</td> <td>\$</td> </tr> </tbody> </table>				Claims	Number Filed	Number Extra	Rate	Total Claims	35 - 20 =	15	X \$50.00	Independent Claims	1 - 3 =		X \$200.00	Multiple dependent claim(s) (if applicable)			+ \$360.00	<b>TOTAL OF ABOVE CALCULATIONS =</b>				<input type="checkbox"/> Small Entity Status is hereby asserted. Above fees are reduced by 1/2.				<b>SUBTOTAL =</b>				\$750.00		Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+ \$130.00		<b>TOTAL NATIONAL FEE =</b>				\$		Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +				\$40.00		<b>TOTAL FEES ENCLOSED =</b>				\$920.00						Amount to be refunded	\$					Amount to be charged	\$	\$	
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a. <input checked="" type="checkbox"/> A check in the amount of <u>\$870.00</u> to cover the above fees is enclosed. A duplicate copy of this form is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 23-0975 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>23-0975</u> .																																																																							
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>																																																																							
19. CORRESPONDENCE ADDRESS																																																																							

19. CORRESPONDENCE ADDRESS	By: <u>Matthew M. Jacob</u> Matthew M. Jacob, Registration No. 25,154
CUSTOMER NO.	
000513	

12/19/2006 ATRAN1 00000016 10587074

[CHECK NO.] 77861

01 FC:1615 750.00 OP

12/19/2006 ATRAN1 00000016 10587074

02 FC:1618 130.00 OP



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Martin BERGSMANN et al. : Attorney Docket No. 2006\_1181A

Serial No. 10/587,074 : Mail Stop AMENDMENT

Filed July 21, 2006

TAMPER-PROOF, COLOR-SHIFT SECURITY  
FEATURE

**PATENT OFFICE FEE TRANSMITTAL FORM**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached hereto is a check in the amount of \$920.00 to cover Patent Office fees relating to filing the following attached papers:

Late filing of executed Declaration ..... \$130.00

Assignment ..... \$40.00

Additional Claims Fee Transmittal Letter  
Excess of Twenty ..... \$750.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

12/19/2006 ATRAN1 00000016 10587074

03 FC:8021

40.00 OP

Respectfully submitted,

Martin BERGSMANN et al.

By \_\_\_\_\_

*Matthew M. Jacob*  
Matthew M. Jacob  
Registration No. 25,154  
Attorney for Applicants

MJ/tnt

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December 15, 2006

[Check No. 77841]   
2006\_1181A